

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Anthony BEVERINA, et al. GROUP ART UNIT: 2128

SERIAL NUMBER: 09/453,509

EXAMINER: Thai Q. Phan

FILED: December 3, 1999

FOR: METHOD AND APPARATUS FOR RISK MANAGEMENT
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application.
- without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- without fee and with the appropriate certification above.
- without fee and with a new CPA application.
- without fee and with a Request for Continued Examination.
- with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

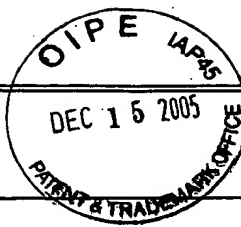
- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

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Form PTO 1449 U.S. DEPARTMENT OF
(Modified) COMMERCE
PATENT AND TRADEMARK
OFFICE

DOCKET NO.

8594-001-64

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APPLICANT

Anthony BEVERINA, et al.

LIST OF REFERENCES CITED BY APPLICANT
(Use Several Sheets if Necessary)

FILING DATE

December 3, 1999

GROUP ART UNIT

2128

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,850,352 A	12-1998	MOEZZI et al.	345	419	
	AB	6,807,537 B1	10-2004	THIESSON et al.	706	52	
	AC	6,507,353 B1	10-2003	HUARD et al.	715	863	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AK	Biswas, et al., "Applications of Quantitative Modeling to Knowledge-Based Risk Assessment Studies," ACM 0-89791-320-5/89/0006/0092, ACM 1989.
	AL	Meyer, et al., "The Strategic Use of Expert Systems for Risk Management in the Insurance Industry," ACM 089791-416-3, ACM 1990.
	AM	Johnson, et al., "Blast Vulnerability of Building Structures and the Public from Terrorist Attack," Proceedings of 1994 International Carnahan Conference on Security Technology, IEEE 1994.
	AN	Doyle, et al., "Strategic Direction in Artificial Intelligence," ACM Computing Surveys, Vol. 28, No. 4, Dec. 1996.
	AO	"Tools Against Terrorism and Other Disasters," Press Release, Sandia National Laboratories, July 2001.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.